

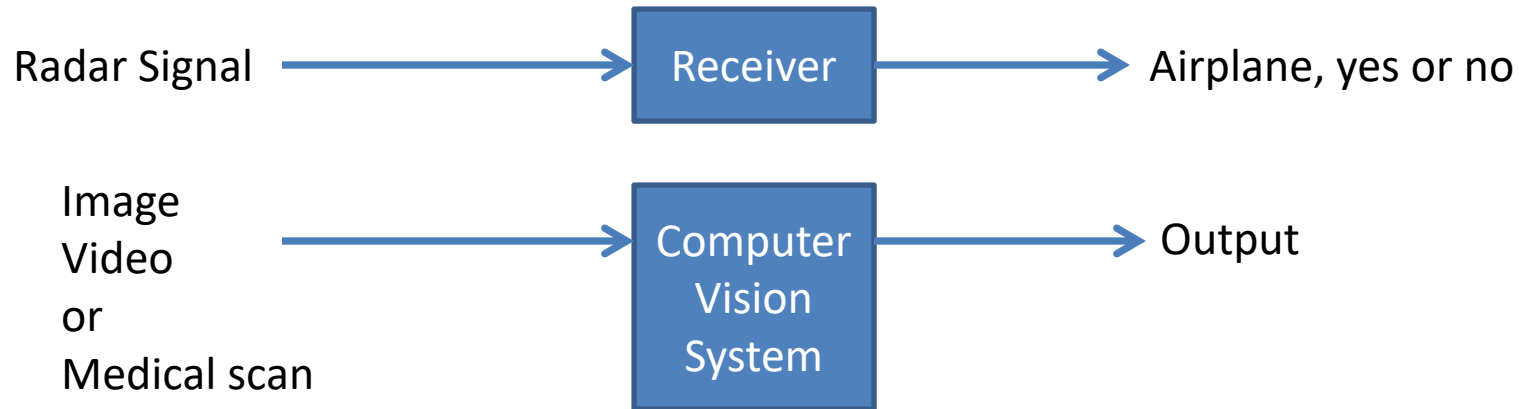
# Performance Analysis of Computer Vision Systems

CS 585  
Margrit Betke

# ROC Analysis

ROC = receiver operating characteristics (historic name from radar signal processing)

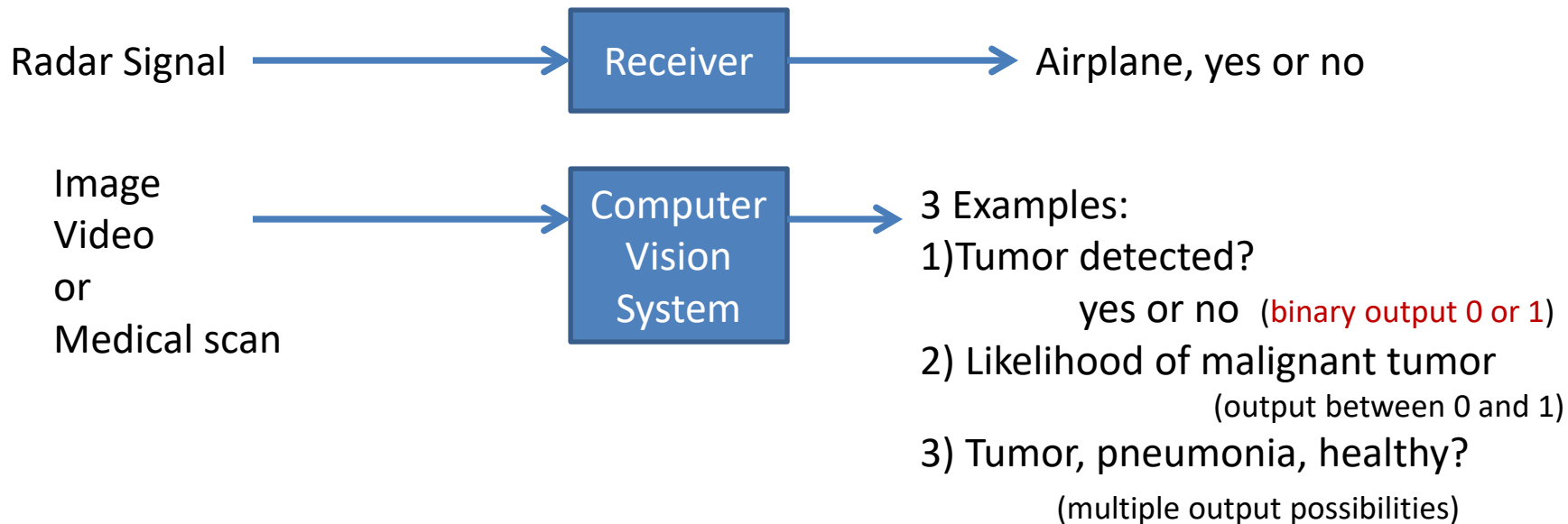
ROC Analysis = Method to organize, visualize, and evaluate results of an computer vision system



# ROC Analysis

ROC = receiver operating characteristics (historic name from radar signal processing)

ROC Analysis = Method to organize, visualize, and evaluate results of an computer vision system



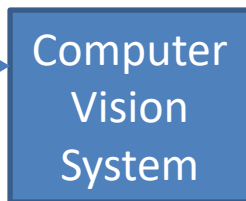
# ROC Analysis

ROC = receiver operating characteristics (historic name from radar signal processing)

ROC Analysis = Method to organize, visualize, and evaluate results of an computer vision system



Image  
Video  
or  
Medical scan



Binary Classifier

Multi-class Classifier

3 Examples:

1) Tumor detected?

yes or no (binary output 0 or 1)

2) Likelihood of malignant tumor

(output between 0 and 1)

3) Tumor, pneumonia, healthy?

(multiple output possibilities)

# Confusion Matrix for Binary Classifier Case

"Truth" =  
Ground truth =  
Gold standard =  
Actual class

Vision System output =  
Hypothesis =  
Predicted class

	1	0
1	<b>True Positive (TP)</b>	<b>False Positive (FP)</b>
0	<b>False Negative (FN)</b>	<b>True Negative (TN)</b>

# Confusion Matrix for Binary Classifier Case

Example with 20 samples

"Truth" =  
Ground truth =  
Gold standard =  
Actual class

AI System output =  
Hypothesis =  
Predicted class

	1	0
1	<b>True Positive (TP): 6</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8</b>

# Confusion Matrix for Binary Classifier Case

"Truth" =  
Ground truth =  
Gold standard =  
Actual class

AI System output =  
Hypothesis =  
Predicted class

	1	0
1	<b>True Positive (TP): 6</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8</b>

1st step of analyzing the confusion matrix:

Check that sum of matrix entries = number of samples used to test AI system

# Confusion Matrix for Binary Classifier Case

"Truth" =  
Ground truth =  
Gold standard =  
Actual class

System output =  
Hypothesis =  
Predicted class

	1	0
1	<b>True Positive (TP): 6</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8</b>

Good System?



# Confusion Matrix for Binary Output Case

"Truth" =  
Ground truth =  
Gold standard =  
Actual class

System output =  
Hypothesis =  
Predicted class

	1	0
1	<b>True Positive (TP): 6</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8</b>

Good System? We want high values in diagonal of matrix.

$$TP+TN=6+8=14$$

# Confusion Matrix for Binary Output Case

$$TP+TN=6+8=14$$

Total number of samples = 20

"Truth" =  
Ground truth =  
Gold standard =  
Actual class

System output =  
Hypothesis =  
Predicted class

	1	0
1	<b>True Positive (TP): 6</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8</b>

2<sup>nd</sup> step of analyzing the confusion matrix:

Compute sum of diagonal entries and compare that with total number of samples

# Confusion Matrix for Binary Output Case

$$TP+TN=6+8=14$$

Total number of samples = 20

14 versus 20: Is this a good system?

"Truth" =  
Ground truth =  
Gold standard =  
Actual class

System output =  
Hypothesis =  
Predicted class

	1	0
1	True Positive (TP): 6	False Positive (FP): 4
0	False Negative (FN): 2	True Negative (TN): 8

2<sup>nd</sup> step of analyzing the confusion matrix:

Compute sum of diagonal entries and compare that with total number of samples

# Confusion Matrix for Binary Output Case

$$TP+TN=6+8=14$$

Total number of samples = 20

**Accuracy of System:**

$$14/20 = 0.7$$

**System output =**  
**Hypothesis =**  
**Predicted class**

**"Truth" =**  
**Ground truth =**  
**Gold standard =**  
**Actual class**

	1	0
1	<b>True Positive (TP): 6</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8</b>

2<sup>nd</sup> step of analyzing the confusion matrix:

Compute sum of diagonal entries and compare that with total number of samples

# Confusion Matrix for Binary Output Case

"Truth" =  
Ground truth =  
Gold standard =  
Actual class

System output =  
Hypothesis =  
Predicted class

	1	0
1	<b>True Positive (TP): 6</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8</b>

Positive samples =

TP+FN =

8

Negative samples =

FP+FN =

12

How sensitive is the classifier in finding the positives?

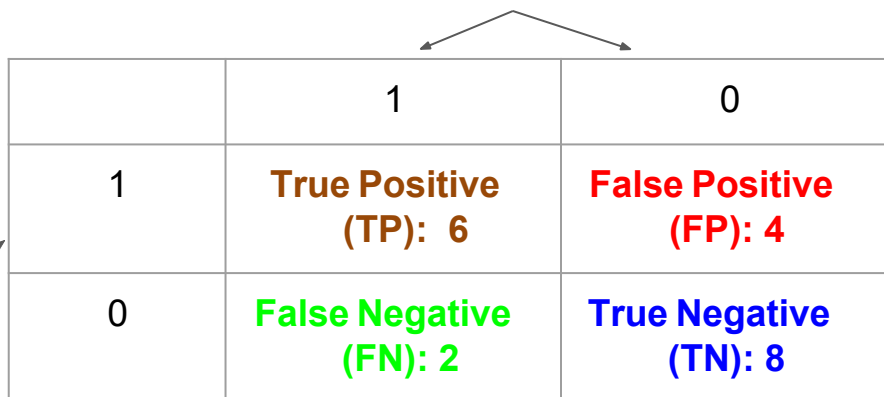
true positive rate = **tp** =  
 $TP/(TP+FN) = 6/8 = 3/4$   
= recall = sensitivity

"Truth" =  
Ground truth =  
Gold standard =  
Actual class

e.g.

How sensitive to tumor?

System output =  
Hypothesis =  
Predicted class

A confusion matrix diagram. At the top, a bracket with two arrows points to the columns of the matrix, labeled '1' and '0'. To the left, a bracket with two arrows points to the rows of the matrix, labeled '1' and '0'. The matrix itself is a 2x2 grid. The top row (System output = 1) contains 'True Positive (TP): 6' and 'False Positive (FP): 4'. The bottom row (System output = 0) contains 'False Negative (FN): 2' and 'True Negative (TN): 8'.

	1	0
1	True Positive (TP): 6	False Positive (FP): 4
0	False Negative (FN): 2	True Negative (TN): 8

Positive samples =

$P = TP + FN =$

8

"Truth" =  
Ground truth =  
Gold standard =  
Actual class

false positive rate = **fp** =  
 $FP/(FP+TN) = 4/12 = 1/3$

System output =  
Hypothesis =  
Predicted class

	1	0
1	True Positive (TP): 6	False Positive (FP): 4
0	False Negative (FN): 2	True Negative (TN): 8

e.g.,  
overcalling  
tumors

Negative samples =  
 $FP+TN =$   
12

true positive rate = **tp** =  
 $TP/(TP+FN) = 6/8 = 3/4$   
= recall = sensitivity

false positive rate = **fp** =  
 $FP/(FP+TN) = 4/12 = 1/3$

System output =  
Hypothesis =  
Predicted class

"Truth" =  
Ground truth =  
Gold standard =  
Actual class

	1	0
1	True Positive (TP): 6	False Positive (FP): 4
0	False Negative (FN): 2	True Negative (TN): 8

Positive samples =

TP+FN =

8

Negative samples =

FP+TN =

12



How specific is the classifier in finding the negatives?

Instead of *fp*, we sometimes focus on

$1 - fp = \textit{specificity}$

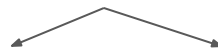
$$TN / (FP + TN) = 8 / 12 = 2 / 3$$

System output =  
Hypothesis =  
Predicted class



	1	0
1	<b>True Positive (TP): 6</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8</b>

"Truth" =  
Ground truth =  
Gold standard =  
Actual class



e.g., model not specific to tumors if lots of FPs compared non-tumor examples

Negative samples =

$$FP + TN =$$

12

true positive rate =  $tp = TP / (TP + FN) = 6 / 8 = 3/4$   
= recall = sensitivity

precision =  
 $TP / (TP + FP) = 6 / 10 = 3/5$

System output =  
Hypothesis =  
Predicted class

"Truth" =  
Ground truth =  
Gold standard =  
Actual class

e.g., how precise is  
the classifier in  
finding the tumors?

	1	0
1	True Positive (TP): 6	False Positive (FP): 4
0	False Negative (FN): 2	True Negative (TN): 8

Positive  
hypotheses  
=  $TP + FP = 10$

Positive samples =  
 $TP + FN = 8$

# F1 Score

true positive rate =  $tp = TP / (TP + FN) = 6 / 8 = 3/4$   
= recall = sensitivity

precision =  
 $TP / (TP + FP) = 6 / 10 = 3/5$

F1 score =  $2 \text{ recall} \times \text{precision} / (\text{recall} + \text{precision})$   
 $= 2 \times 3/4 \times 3/5 / (3/4 + 3/5) = 2/3$

System output =  
Hypothesis =  
Predicted class

	1	0
1	<b>True Positive (TP): 6</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8</b>

Positive hypotheses  
= **TP+FP** =  
10

Positive samples =  
TP+FN =  
8

# F1 Score

true positive rate = tp =

$$TP/(TP+FN) = 6/8 = \frac{3}{4} = 0.75$$

= recall = sensitivity

F1 score =  $2 \text{ recall} \times \text{precision} / (\text{recall} + \text{precision})$

$$= 2 \times \frac{3}{4} \times \frac{3}{5} / (\frac{3}{4} + \frac{3}{5}) = \frac{2}{3} = 0.667$$

precision =

$$TP/(TP+FP) = 6/10 = \frac{3}{5} = 0.6$$

System output =  
Hypothesis =  
Predicted class

	1	0
1	<b>True Positive (TP): 6</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8</b>

# Balanced Accuracy

true positive rate =  $tp = TP / (TP + FN) = 6 / 8 = \frac{3}{4} = 0.75$   
= recall = sensitivity

$1 - fp = \text{specificity}$   
 $TN / (FP + TN) = 8 / 12 = 0.67$

Balanced Accuracy =  $((TP / (TP + FN) + (TN / (TN + FP))) / 2 =$   
 $(\text{sensitivity} + \text{specificity}) / 2 =$   
 $(3/4 + 2/3) / 2 = (0.75 + 0.67) / 2 = 2/3 = 0.708$

System output =  
Hypothesis =  
Predicted class

	1	0
1	True Positive (TP): 6	False Positive (FP): 4
0	False Negative (FN): 2	True Negative (TN): 8

# Accuracy vs. F1 Score vs. Balanced Accuracy

Accuracy =  $(TP+TN)/\text{everything}$  = **0.700**

F1 Score =  $2 \text{ recall} \times \text{precision} / (\text{recall} + \text{precision})$  = **0.667**

Balanced Accuracy =  $(\text{sensitivity} + \text{specificity})/2$  = **0.708**

	1	0
1	<b>True Positive (TP): 6</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8</b>



# Accuracy vs. F1 Score vs. Balanced Accuracy

Accuracy =  $(TP+TN)/\text{everything} = 0.999$

F1 Score =  $2 \text{ recall} \times \text{precision} / (\text{recall} + \text{precision}) = 0.667$

Balanced Accuracy =  $(\text{sensitivity} + \text{specificity})/2 = 0.833$

	1	0
1	<b>True Positive (TP): 6</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8000</b>



# Accuracy vs. F1 Score vs. Balanced Accuracy

Accuracy =  $(TP+TN)/\text{everything}$  = 0.999

F1 Score =  $2 \text{ recall} \times \text{precision} / (\text{recall} + \text{precision})$  = 0.999

Balanced Accuracy =  $(\text{sensitivity} + \text{specificity})/2$  = 0.833

	1	0
1	<b>True Positive (TP):6000</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8</b>



# Terms to remember:

ROC

Ground truth, gold standard  
Hypothesis

Classifier

Accuracy, Balanced Accuracy, F1 score

Predictor

False positive rate & False negative rate

Likelihood

Recall & Precision

Sensitivity & Specificity



# Building an ROC curve for a Vision System: One classifier at time

$$TP+TN=6+8=14$$

Total number of samples = 20

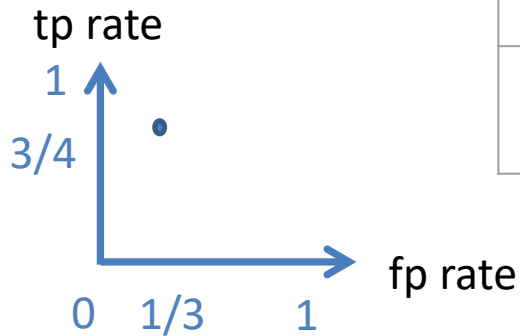
**Accuracy of Classifier:**

$$14/20 = 0.7$$

**false positive rate = 1/3**

**true positive rate = 3/4**

ROC curve has 1 point:



"Truth" =  
Ground truth =  
Gold standard =  
Actual class

	1	0
1	<b>True Positive (TP): 6</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 2</b>	<b>True Negative (TN): 8</b>

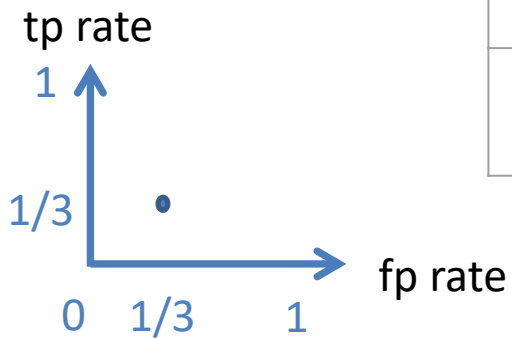


# Good Classifier?

false positive rate =  $1/3$

true positive rate =  $1/3$

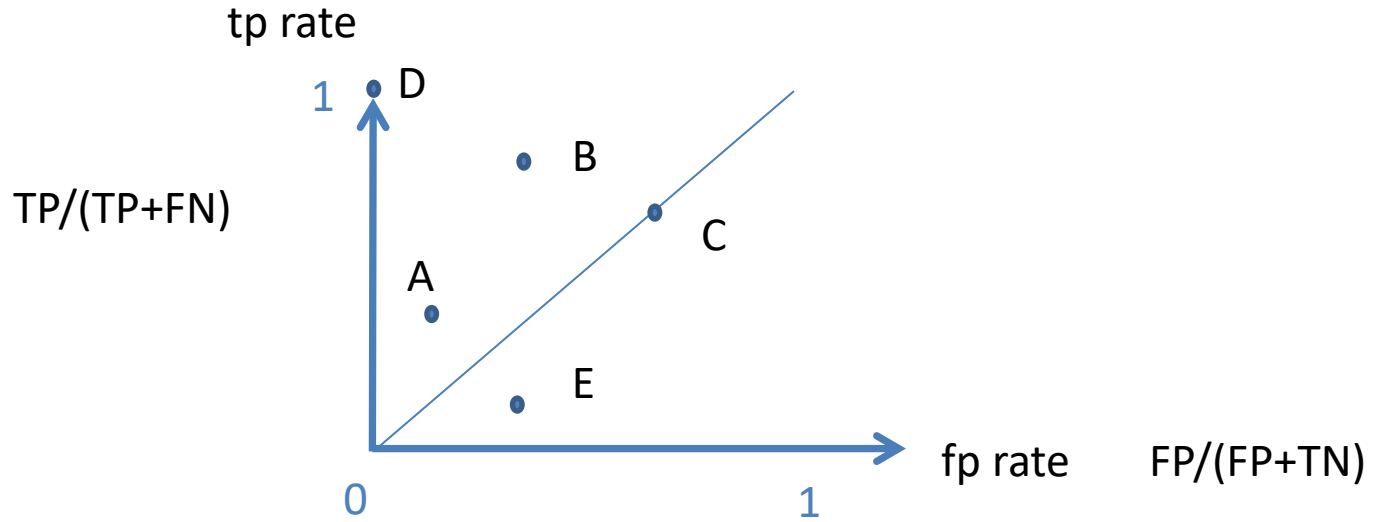
ROC curve has 1 point:



"Truth" =  
Ground truth =  
Gold standard =  
Actual class

	1	0
1	<b>True Positive (TP): 4</b>	<b>False Positive (FP): 4</b>
0	<b>False Negative (FN): 8</b>	<b>True Negative (TN): 8</b>

# Comparing Classifiers



Classifier A:

Classifier B:

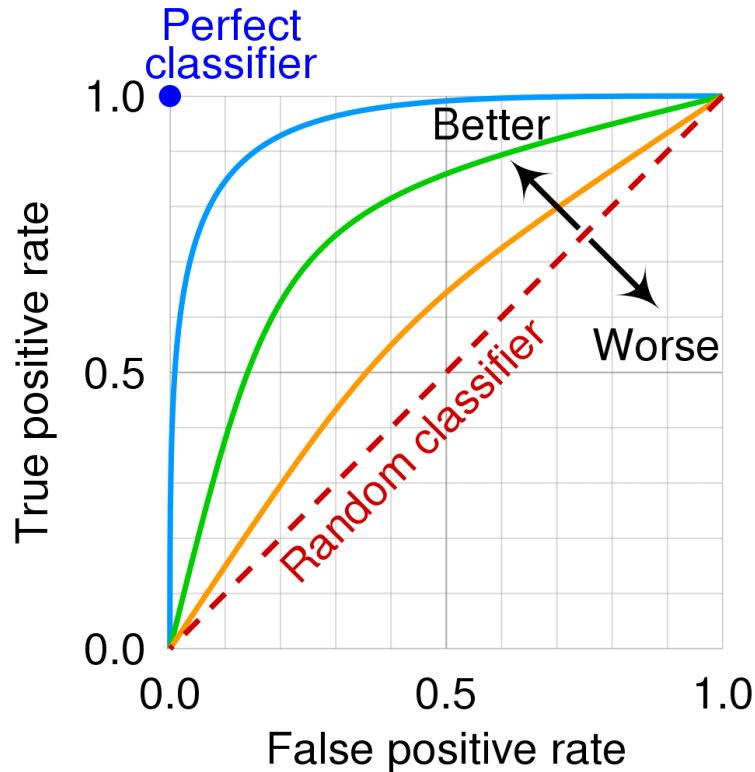
Classifier C:

Classifier D:

Classifier E:

See paper by Fawcett

# ROC Curves



Each colored line shows the behavior of a binary classifier when a parameter is changed.

Example for tumor prediction classifier:

The parameter could be the threshold  $T$  on circularity of the mass:

$\text{circularity} > T$  Predict "tumor"  
 $\text{circularity} \leq T$  Predict "no tumor"

Plot the curve for by testing performance on  $T=0.95, 0.9, 0.85$ , etc

# Confusion Matrix for Multiple Classes

"Truth" = Ground truth = Gold standard =  
Actual class

	Class 1	Class 2	Class 3	Class 4
Class 1	100%	15%	10%	7%
Class 2	0%	80%	10%	3%
Class 3	0%	3%	80%	70%
Class 4	0%	2%	0%	20%

System Output =  
Hypothesis =  
Predicted class

# Confusion Matrix for Multiple Classes

"Truth" = Ground truth = Gold standard =  
Actual class

	Class 1	Class 2	Class 3	Class 4
Class 1	100%	15%	10%	7%
Class 2	0%	80%	10%	3%
Class 3	0%	3%	80%	70%
Class 4	0%	2%	0%	20%

System Output =  
Hypothesis =  
Predicted class

# Warning about how to talk about Percentage Differences!

Can you say that this classifier's ability to predict class 3 is 20% worse than class 1?

Idea is

$$100\% - 80\% = 20\%$$

	Class 1	Class 2	Class 3	Class 4
Class 1	100%	15%	10%	7%
Class 2	0%	80%	10%	3%
Class 3	0%	3%	80%	70%
Class 4	0%	2%	0%	20%



# Warning about how to talk about Percentage Differences!

Can you say that this classifier's ability to predict class 1 is 20% better than class 3? **NO**

Idea is

$$100\% - 80\% = 20\%$$

You have to say

**20 percent points.**

“20 % better” would  
add up to 96% because:

$$80\% * 20\% = 16\%$$

$$16\% + 80\% = 96\%$$

not 100% !

	Class 1	Class 2	Class 3	Class 4
Class 1	100%	15%	10%	7%
Class 2	0%	80%	10%	3%
Class 3	0%	3%	80%	70%
Class 4	0%	2%	0%	20%

The difference  
between  
percentages is  
called  
**percent points.**

# Confusion Matrix for Multiple Classes

Note: Rows and columns of a confusion matrix may be reversed

Reporting **only** percentages and not actual number is usually **NOT** a good practice.

Example of a multi-class confusion matrix in one of my papers (Zhang et al, IJCV 2017):

Each row corresponds to a ground-truth category label. The percentage reported is the average proportion of images of the category A (row number) labeled as category B (column number). For over 90% images, predicted labels are consistent with the ground-truth labels.

	0	1	2	3	4+
0	90% (179)	5% (9)	2% (3)	1% (2)	3% (6)
1	1% (2)	96% (191)	3% (5)	1% (1)	1% (1)
2	0	3% (6)	95% (189)	3% (5)	0
3	0	1% (1)	3% (5)	96% (191)	1% (2)
4+	13% (26)	3% (6)	4% (8)	2% (3)	78% (156)

# Learning Outcomes

Be able to explain why computer vision scientists do ROC analysis

Explain the concepts classifier, predictor, likelihood, binary classifier, multi-class classifier, ground truth, gold standard, hypothesis, confusion matrix

Be able to define and apply formulas for computing the false positive rate, false negative rate, recall & precision, sensitivity & specificity, accuracy, balanced accuracy, F1 score

Know to analyze the confusion matrix and select appropriate performance measures to evaluate the computer vision system **(including your homework 2 system)**

Be able to draw and analyze a ROC curve for a parameterized classifier problem

Be able to compare ROC curves and points on the curves to select the most appropriate classifier

Remember the different meaning of percentage and percentage point

Remember not to report only percentages for a computer vision system, but the actual numbers

Know the difference between a confusion matrix for a binary classifier and a multi-class classifier