#### CS520 Programming Assignment 3

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Due: 11:59pm, 9 Nov 2006

#### Overview The purposes of this assignment are:

- 1. Extend the language  $\lambda_t$  (in programming assignment 2) with effects, namely, exceptions and references to a language called  $\lambda_t^{eff}$ .
- 2. Implement a type-checker for  $\lambda_t^{eff}$ .
- 3. Implement an evaluator for  $\lambda_t^{eff}$ .

# Syntax of $\lambda_t^{eff}$ (extended from $\lambda_t$ )

```
ty ::=  unit | int | bool | string | ty_1 \rightarrow ty_2 | ty_1 * ... * ty_n
                                | \operatorname{ref} ty | \operatorname{exn}
contants
                    c ::= () \mid \mathsf{true} \mid \mathsf{false} \mid 0 \mid 1 \mid \dots
                  op ::= + | - | * | / | \sim | print | \dots
operators
                    t ::= c \mid x \mid \text{if } t_0 \text{ then } t_1 \text{ else } t_2 \mid op(t_1, \ldots, t_n) \mid \text{lam } (x : ty) => t
terms
                                  \mid t_1(t_2) \mid let x = t_1 in t_2 \mid letrec x : ty = t_1 in t_2 \mid
                                  \mid (t_0,\ldots,t_n)\mid t.i\mid \mathtt{fix}(t)\mid (t:ty)
                                  \mid ref t\mid t_{1}:=t_{2}\mid !t\mid raise t
                                  | try t_0 with cls
patterns
                 pat ::= c \mid x
                 cls ::= (pat_1 \Rightarrow t_1 \mid \dots \mid pat_n \Rightarrow t_n)
clauses
```

where a pattern can be either a constant or a variable.

Note that unit corresponds to Unit, the type constructor ref corresponds to Ref and () corresponds to unit (value), respectively, in Pierce's book.

**Operators** We assume the following operators in  $\lambda_t^{eff}$  with corresponding types:

```
\mathtt{int} * \mathtt{int} \rightarrow \mathtt{int}
+
                                      \mathtt{int} * \mathtt{int} \rightarrow \mathtt{int}
                                      \mathtt{int} * \mathtt{int} \to \mathtt{int}
                                     \mathtt{int} * \mathtt{int} \rightarrow \mathtt{int}
                                                                                         (* negation *)
                                     \mathtt{int} \to \mathtt{int}
                                     \mathtt{int} * \mathtt{int} \rightarrow \mathtt{bool}
                                     \mathtt{int} * \mathtt{int} \rightarrow \mathtt{bool}
<
                                     \mathtt{int} * \mathtt{int} \rightarrow \mathtt{bool}
\leq =
                                     \mathtt{int} * \mathtt{int} \rightarrow \mathtt{bool}
=
                                     \mathtt{int} * \mathtt{int} \rightarrow \mathtt{bool}
<>
                                     \mathtt{int} * \mathtt{int} \rightarrow \mathtt{bool}
                                     string \rightarrow unit
print
```

### Abstract Syntax Definition of $\lambda_t^{eff}$ in Ocaml

```
type stp =
   TpBase of string (* base type *)
  | TpFun of stp * stp (* function type *)
  | TpTup of stp list (* tuple type *)
  | TpExn (* exception type *)
  | TpRef of stp (* reference type *)
type ttm =
   TtmBool of bool (* boolean constant *)
  | TtmInt of int (* integer constant *)
  | TtmStr of string (* string constant *)
  | TtmVar of string (* variable *)
  | TtmIf of ttm * ttm * ttm
                            (* if-then-else term *)
  | TtmOp of string * ttm list (* built-in operator *)
  | TtmLam of string * stp * ttm (* lambda abstraction *)
  | TtmApp of ttm * ttm (* application *)
  | TtmLet of string * ttm * ttm (* let-binding *)
  | TtmLetrec of string * stp * ttm * ttm (* letrec-binding *)
  | TtmTup of ttm list (* tuple *)
  | TtmPro of ttm * int (* projection *)
  | TtmFix of ttm (* fixed point *)
  | TtmAsc of ttm * stp (* ascription *)
  | TtmRef of ttm (* reference *)
  | TtmLoc of int
                      (* location *)
  | TtmAssign of ttm * ttm (* assignment *)
  | TtmDeref of ttm (* de-reference *)
  | TtmRaise of ttm
                     (* raise *)
  | TtmTry of ttm * (ttm * ttm) list (* try ... with ... *)
```

Static Semantics of  $\lambda_t^{eff}$  (rules similar as  $\lambda_t$ 's are omitted)

$$\frac{\Gamma \vdash t_1 : T_1}{\Gamma \vdash \operatorname{ref}\ t_1 : \operatorname{ref}\ T_1} \ (\operatorname{ty-ref})$$
 
$$\frac{\Gamma \vdash t_1 : \operatorname{ref}\ T_1}{\Gamma \vdash !t_1 : T_1} \ (\operatorname{ty-deref})$$
 
$$\frac{\Gamma \vdash t_1 : \operatorname{ref}\ T_1}{\Gamma \vdash t_1 : t_2 : t_1} \ (\operatorname{ty-assign})$$
 
$$\frac{T \vdash t_1 : \operatorname{ref}\ T_1}{\Gamma \vdash t_1 : t_2 : \operatorname{unit}} \ (\operatorname{ty-assign})$$
 
$$\frac{t_1 \in \{\operatorname{constants}, \operatorname{variables}\}}{\Gamma \vdash t_1 : \operatorname{exn}} \ (\operatorname{ty-exn})$$
 
$$\frac{\Gamma \vdash t_1 : \operatorname{exn}}{\Gamma \vdash \operatorname{raise}\ t_1 : T} \ (\operatorname{ty-raise})$$
 
$$\frac{\Gamma \vdash t_0 : T \quad \Gamma \vdash \operatorname{pat}_1 : \operatorname{exn}\ \Gamma \vdash t_1 : T \quad \dots \quad \Gamma \vdash \operatorname{pat}_n : \operatorname{exn}\ \Gamma \vdash t_n : T}{\Gamma \vdash \operatorname{try}\ t_0 \ \operatorname{with}\ \operatorname{pat}_1 \Rightarrow t_1 \mid \dots \mid \operatorname{pat}_n \Rightarrow t_n : T} \ (\operatorname{ty-try})$$

Note that all exceptions here are constants or variables, i.e. they do not carry values. **Remark:** we do not need to maintain a store typing  $\Sigma$  in the rules as in Chapter 13 of Pierce's book because there are no locations in the source programs. Such a  $\Sigma$  is only needed if we are to type intermediary programs that mention locations.

## Dynamic Semantics of $\lambda_t^{eff}$

We use l for locations and  $\mu$  for location store and  $\mu[l \mapsto v]$  to mean updating the store  $\mu$  at location l by v.

$$\frac{t_1 \mid \mu \to t_1' \mid \mu'}{\operatorname{ref}\ t_1 \mid \mu \to \operatorname{ref}\ t_1' \mid \mu'} \text{ (eval-ref1)}$$

$$\frac{l \notin dom(\mu)}{\operatorname{ref}\ v_1 \mid \mu \to l \mid \mu[l \mapsto v_1]} \text{ (eval-refV)}$$

$$\frac{t_1 \mid \mu \to t_1' \mid \mu'}{\mid t_1 \mid \mu \to \mid t_1' \mid \mu'} \text{ (eval-deref1)}$$

$$\frac{\mu(l) = v}{\mid l \mid \mu \to v \mid \mu} \text{ (eval-derefL)}$$

$$\frac{t_1 \mid \mu \to t_1' \mid \mu'}{t_1 := t_2 \mid \mu \to t_1' := t_2 \mid \mu'} \text{ (eval-assign1)}$$

$$\frac{t_2 \mid \mu \to t_2' \mid \mu'}{v_1 := t_2 \mid \mu \to v_1 := t_2' \mid \mu'} \text{ (eval-assign2)}$$

$$\frac{l}{l := v \mid \mu \to () \mid \mu[l \mapsto v]} \text{ (eval-assignL)}$$

$$\frac{l}{l := v \mid \mu \to () \mid \mu[l \mapsto v]} \text{ (eval-assignL)}$$

$$\frac{l}{l} := v \mid \mu \to () \mid \mu[l \mapsto v]} \text{ (eval-raise1)}$$

Rules for raise v occurring in other contexts (let, op, etc.) are similar.

$$\frac{t_0 \mid \mu \rightarrow t_0' \mid \mu'}{\mathsf{try} \; t_0 \; \mathsf{with} \; clauses \mid \mu \rightarrow \mathsf{try} \; t_0' \; \mathsf{with} \; clauses \mid \mu'} \; (\mathsf{eval\text{-}try1})$$
 
$$\frac{\mathsf{pat}_i \neq \mathsf{pat}_k \; \mathsf{for} \; k = 1, \dots, i-1.}{\mathsf{try} \; \mathsf{pat}_i \; \mathsf{with} \; (\mathsf{pat}_1 \Rightarrow t_1 \mid \dots \mid \mathsf{pat}_n \Rightarrow t_n) \mid \mu \rightarrow t_i \mid \mu} \; (\mathsf{eval\text{-}tryExn})$$

**Problem 1 (40pts):** Based on the given static semantics, implement a function called typecheck in Ocaml which performs type checking for a  $\lambda_t^{eff}$  term. The typecheck function should be assigned the following type in Ocaml:

 $\texttt{typecheck} \; : \; \texttt{ttm} \to \texttt{stp} \; \; \texttt{option}$ 

Note that for a well-typed term t of type T, typecheck(t) should return Some(T); Otherwise, return None.

**Problem 2 (50pts):** Based on the given dynamic semantics, implement a function called eval in Ocaml which evaluates closed well-typed  $\lambda_t^{eff}$  terms through the call-by-value strategy (you can adapt the small-step semantics to big-step semantics for efficiency). The eval function should have type

```
\mathtt{eval} \; : \; \mathtt{ttm} \to \mathtt{ttm}
```

in Ocaml. Note that *locations* are represented as natural numbers and you need to implement the *location store* (and operations on it) by yourself.

Implementation notes A few files (in prog3.tar.gz) are provided to start the assignment. You need to provide the actual implementations of the above functions based on the given code. Once all the code are ready, type make under the directory. If no error reported, an executable file called evaluator will be produced. You can test your code by typing

#### ./evaluator filename

where *filename* should be replaced by some actual file path. There are some test cases provided in the **test** directory.

**Grading** The grading of the assignment is based on whether the required functionalities are correctly implemented. Please make sure your code can be compiled and tested on **csa2** because all submissions will be tested on **csa2**. There are **10pts** for

- 1. if the code is well organized.
- 2. if errors are properly handled.
- 3. if the code has necessary comments.
- 4. etc.