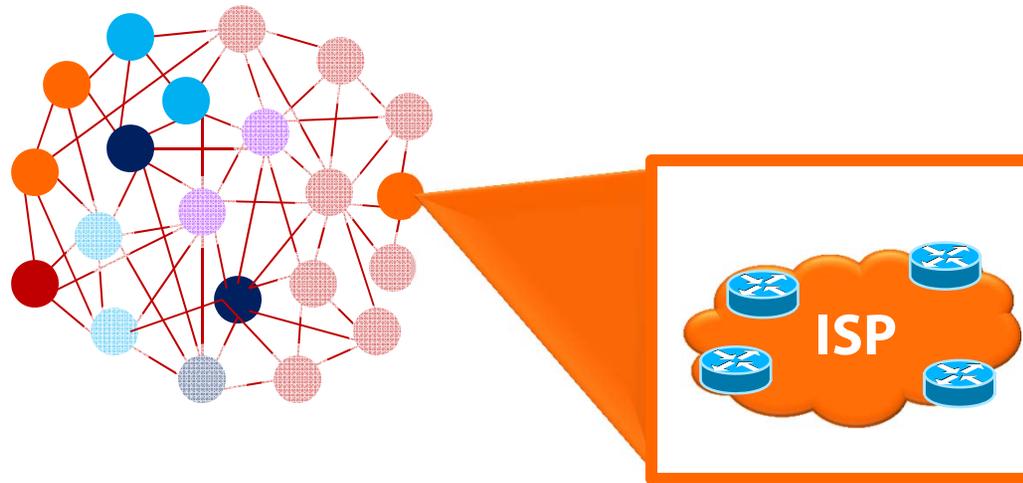


# Diffusion of Networking Technologies



**Bellairs Workshop on Algorithmic Game Theory  
Barbados April 2012**

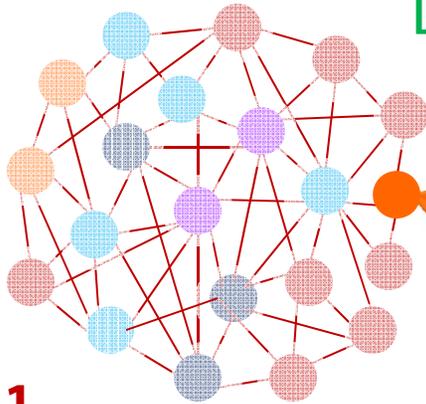
**Sharon Goldberg  
Boston University**

**Zhenming Liu  
Harvard University**



# Diffusion in social networks: Linear Threshold Model

[Kempe Kleinberg Tardos'03, Morris'01, Granovetter'78]



A node's utility depends only on its neighbors!



I'll adopt the innovation if  $\theta$  of my friends do!

- $\theta = 1$
- $\theta = 2$
- $\theta = 3$
- $\theta = 4$
- $\theta = 6$

**Optimization problem [KKT'03]:** Given the graph and thresholds, what is the smallest seedset that can cause the entire network to adopt?

**Seedset:** A set of nodes that can kick off the process.   
Marketers, policy makers, and spammers can target them as early adopters!

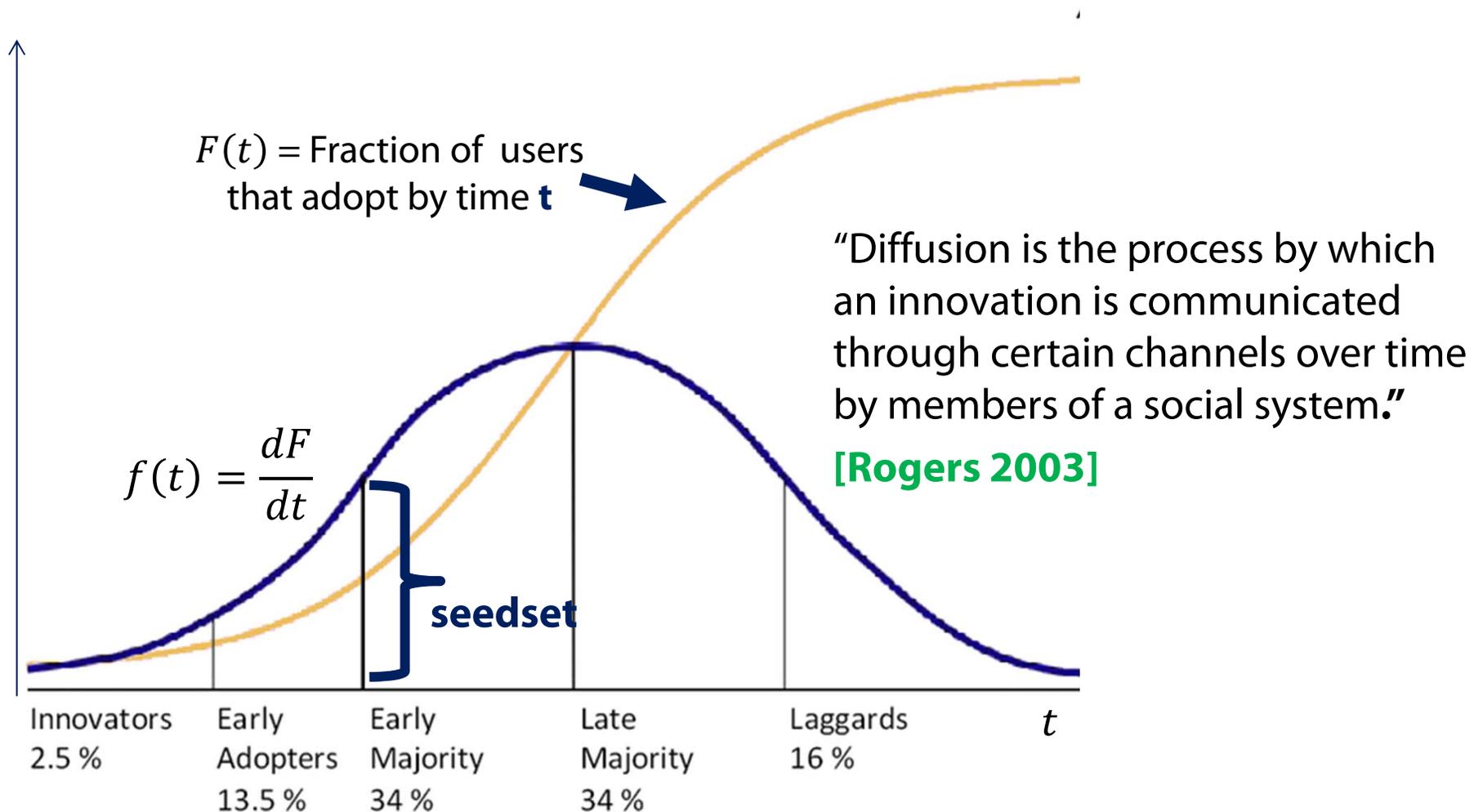
What if the **innovation** is a **networking technology** (e.g. IPv6, Secure BGP, QoS, etc)

And the **graph** is the network?



# Inspiration: The literature on diffusion of innovations (1)

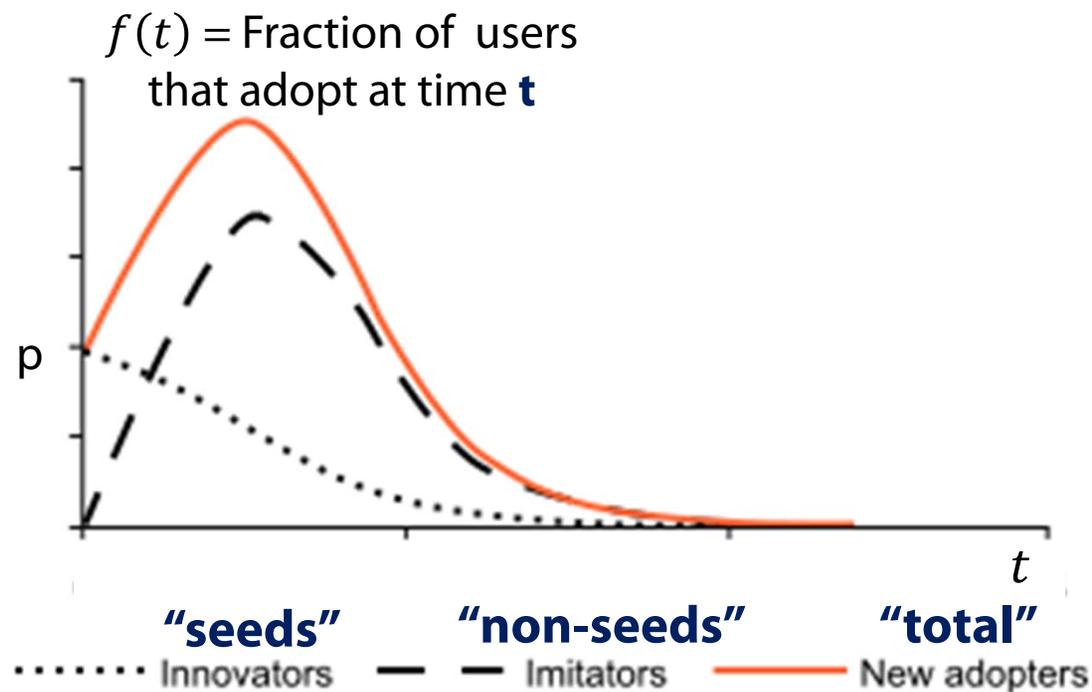
- **Social Sciences:** [Ryan and Gross'49, Rogers '62, ....]
  - General theory tested empirically in different settings (corn, Internet, etc)





## Inspiration: The literature on diffusion of innovations (2)

- **Social Sciences:** [Ryan and Gross'49, Rogers '62, ....]
  - General theory tested empirically in different settings (corn, Internet, etc)
- **Marketing:** The Bass Model [Bass'69]
  - Forecasting extent of diffusion, and how pricing, marketing mix effects it





## **Inspiration: The literature on diffusion of innovations (3)**

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- **Social Sciences:** [Ryan and Gross'49, Rogers '62, ....]
  - General theory tested empirically in different settings (corn, Internet, etc)
- **Marketing:** The Bass Model [Bass'69]
  - Forecasting extent of diffusion, and how pricing, marketing mix effects it
- **Economics:** “Network externalities” or “Network effects” [Katz Shapiro'85...]
  - Models to analyze markets, econometric validation, etc

“The utility that a given user derives from the good depends upon the **number** of other users who are in the same “network” as he or she.”

[Katz & Shapiro 1985]



## Inspiration: The literature on diffusion of innovations (4)

- **Social Sciences:** [Ryan and Gross'49, Rogers '62, ....]
  - General theory tested empirically in different settings (corn, Internet, etc)
- **Marketing:** The Bass Model [Bass'69]
  - Forecasting extent of diffusion, and how pricing, marketing mix effects it
- **Economics:** “Network externalities” or “Network effects” [Katz Shapiro'85...]
  - Models to analyze markets, econometric validation, etc
- **Popular Science:** “Metcalfe’s Law” [Metcalfe 1995]

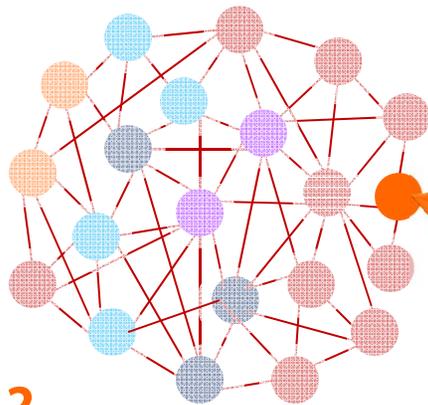
**Traditional work:** No graph. Utility depends on number of adopters.

[KKT'03, ...]: The graph is a social network. Utility is **local**.

**Our model:** Graph is an internetwork. Utility is **non-local**.



# Diffusion in Internetworks: A new, non-local model (1)



Network researchers have been trying to understand why its so hard to deploy new technologies ( **IPv6**, **secure BGP**, etc.)



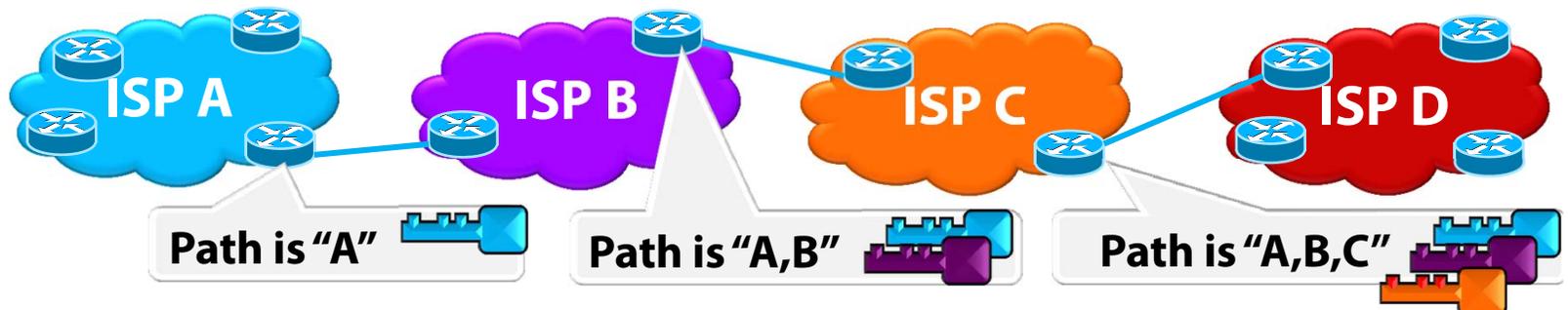
I'll adopt the innovation if I can use it to communicate with at least  $\theta$  other Internet Service Providers (ISPs)!

- $\theta = 2$
- $\theta = 3$
- $\theta = 12$
- $\theta = 15$
- $\theta = 16$

These technologies work only if **all nodes on a path** adopt them.

e.g. **Secure BGP** (Currently being standardized.)

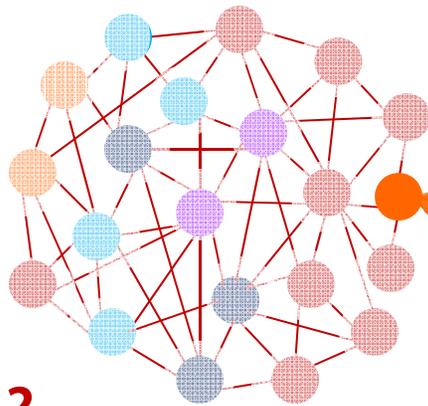
All nodes must cryptographically sign messages so path is secure.



Other technologies share this property: QoS, fault localization, IPv6, ...



# Diffusion in internetworks: A new, non-local model (2)



Network researchers have been trying to understand why its so hard to deploy new technologies ( **IPv6**, **secure BGP**, etc.)

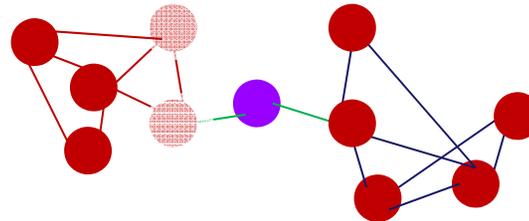


**I'll adopt the innovation if I can use it to communicate with at least  $\theta$  other Internet Service Providers (ISPs)!**

- $\theta = 2$
- $\theta = 3$
- $\theta = 12$
- $\theta = 15$
- $\theta = 16$

**Our new model of node utility:** Node **u**'s utility depends on the size of the connected component of active nodes that **u** is part of.

eg. **utility(u) = 5**



**Seedset:** A set of nodes that can kick off the process.  Policy makers, regulatory groups can target them as early adopters!

**Optimization problem:** Given the graph and thresholds, what is the smallest seedset that can cause the entire network to adopt?



# Social networks (Local) vs Internetworks (Non-Local)

---

**Minimization formulation:** Given the graph and thresholds  $\theta$ , find the smallest seedset that activates every node in the graph.



**Local influence: Deadly hard!**

**Thm [Chen'08]:** Finding an  $O(2^{\log^{1-\epsilon}|V|})$ -approximation is NP hard.



**Non-Local influence (Our model!): Much less hard.**

**Our main result:** An  $O(r \cdot k \cdot \log |V|)$  approx algorithm

---

**Maximization formulation:** Given the graph, assume  $\theta$ 's are drawn uniformly at random. Find seedset of size  $k$  maximizing number of active nodes.



**Local influence: Easy!**

**Thm [KKT'03]:** An  $O(1-1/e)$ -approximation algorithm.

How? 1) Prove submodularity. 2) Apply greedy algorithm.



**Non-Local influence (Our model!): The usual submodularity tricks fail.**



# Our Results

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**Minimization formulation:** Given the graph and thresholds  $\theta$ , find the smallest seedset that activates every node in the graph.



**Main result:** An  $\mathbf{O}(r \cdot k \cdot \log |V|)$  approx algorithm

$r$  is graph diameter (length of longest shortest path)

$k$  is threshold granularity (number of thresholds)



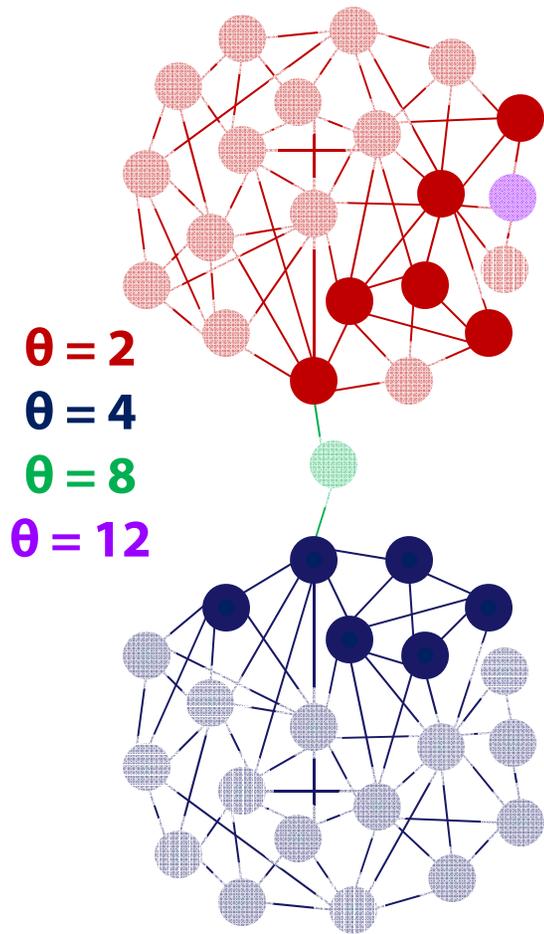
**Lower Bound:** Can't do better than an  $\mathbf{\Omega}(\log |V|)$  approx.  
(Even for constant  $r$  and  $k$ .)



**Lower Bound:** Can't do better than an  $\mathbf{\Omega}(r)$  approx. with our approach.



# Terminology & Overview



**The problem:** Given the graph and thresholds  $\theta$ , find the smallest seedset that activates every node in the graph.

**Seedset:**

**Activation sequence:**

(Time at which nodes activate, one per step)



**Talk plan:**

**Part I: From global to local constraints**

- Using connectivity.

**Part II: Approximation algorithm**

# **Part I: From global to local.**

(via a 2-approximation )



# Why connectivity makes life better.

## The trouble with disjoint components:

Activation of a distant node can dramatically change utility

$$\text{utility}(u) = 7 \xrightarrow{\text{v activates}} \text{utility}(u) = 15$$

It's difficult to encode this with local constraints.

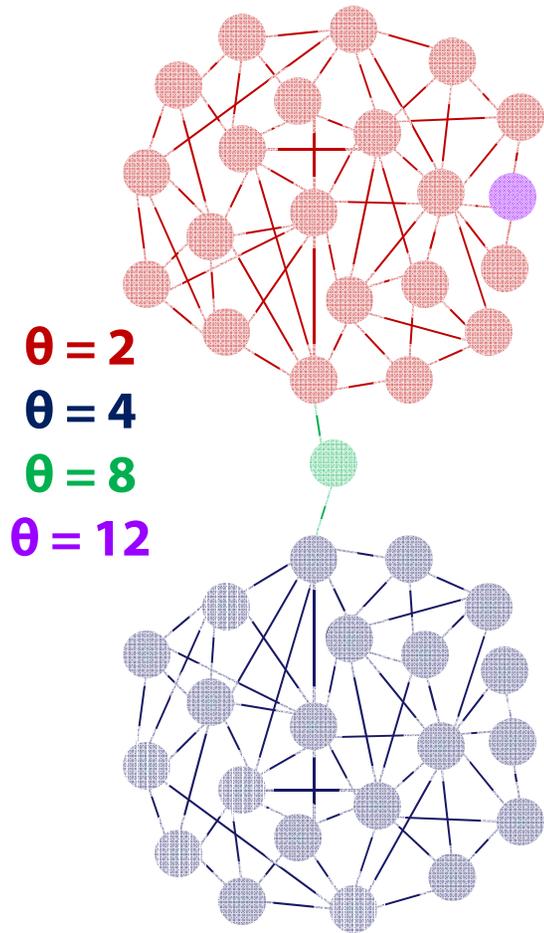
## What if we search for **connected activation sequences**?

(There is a single connected active component at all times)

- Utility at activation = position in sequence
- To extract smallest seedset consistent with sequence:

**Just check if  $t > \theta$ !**

**Thm:** There is a **connected** activation sequence which has  $|\text{seedset}| < 2\text{opt}$ .

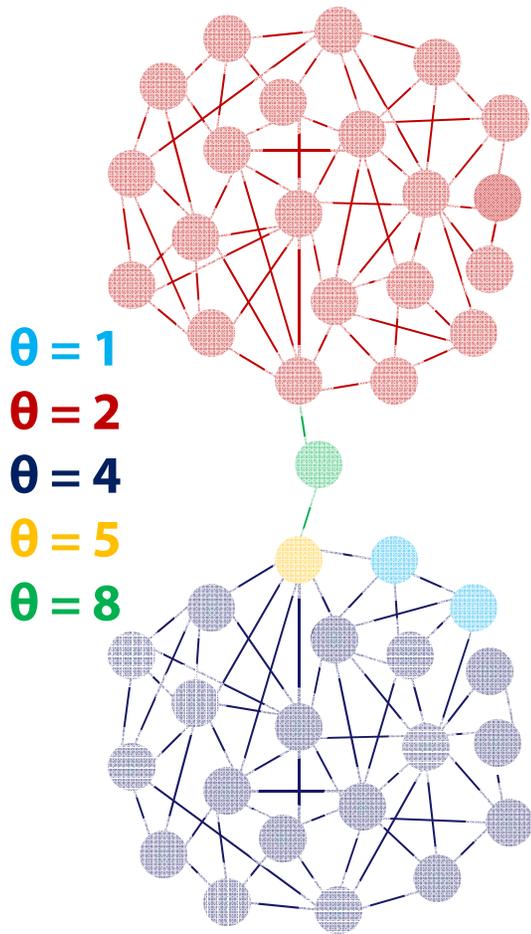


Activation sequence



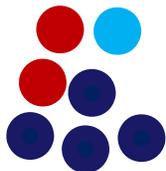


# Proof: $\exists$ connected sequence with $|\text{seedset}| < 2\text{opt.}$ (1)



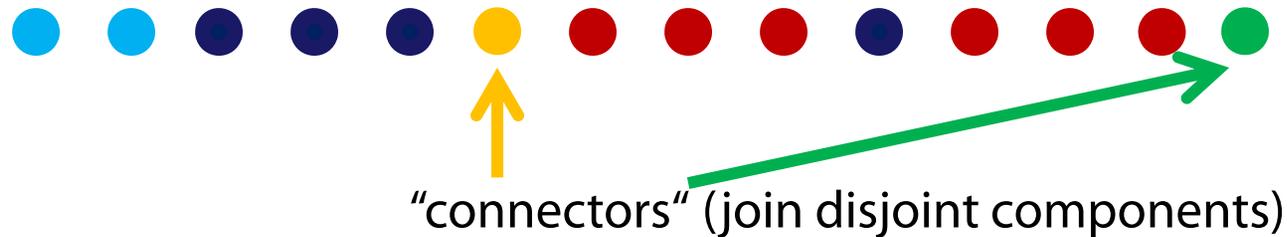
$\theta = 1$   
 $\theta = 2$   
 $\theta = 4$   
 $\theta = 5$   
 $\theta = 8$

Seedset:

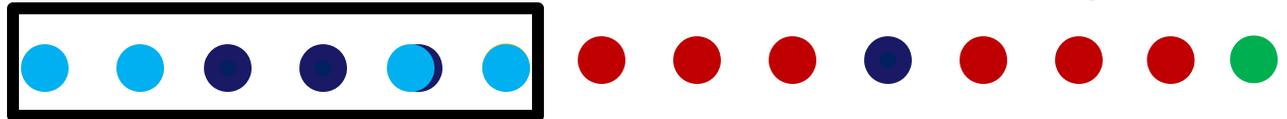


**Proof:** Given any **optimal sequence** transform it to a **connected sequence** by adding at most **opt** nodes to the seedset.

**Optimal (disconnected) activation sequence**



**Transform:** Add **connector** to seedset, rearrange

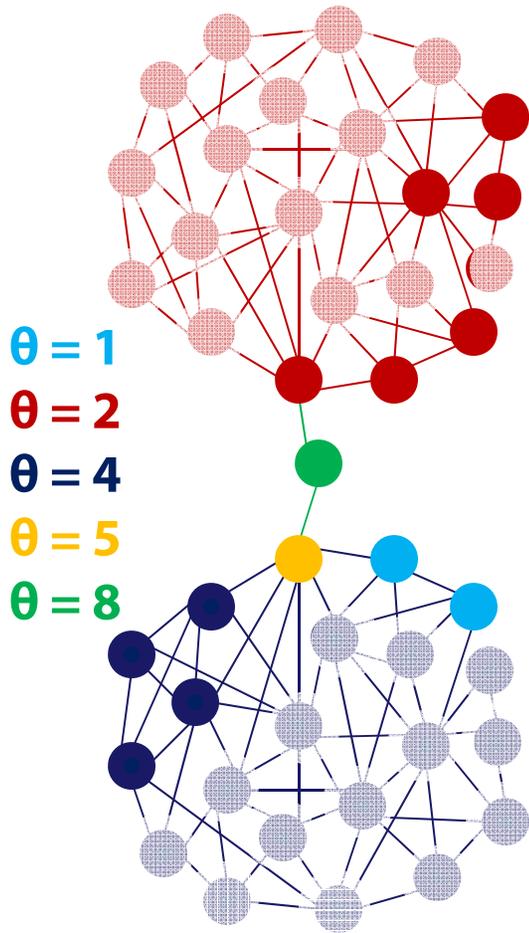


We always activate **large component** first.

**Why?** Non-seeds in **small component** must have  $\theta$  smaller than size of **large component**  
 $\Rightarrow$  no non-connectors are added to seedset!



# Proof: $\exists$ connected sequence with $|\text{seedset}| < 2\text{opt.}$ (2)



**Proof:** Given any **optimal sequence** transform it to a **connected sequence** by adding at most **opt** nodes to the seedset.

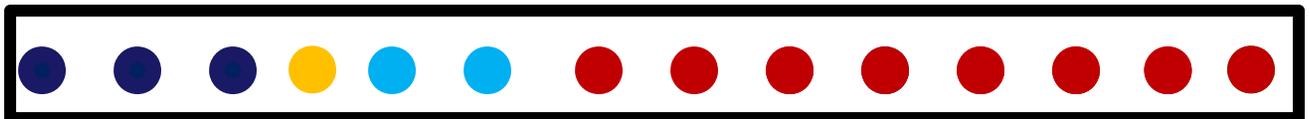
**Optimal (disconnected) activation sequence**



**Transform:** Add **connector** to seedset, rearrange



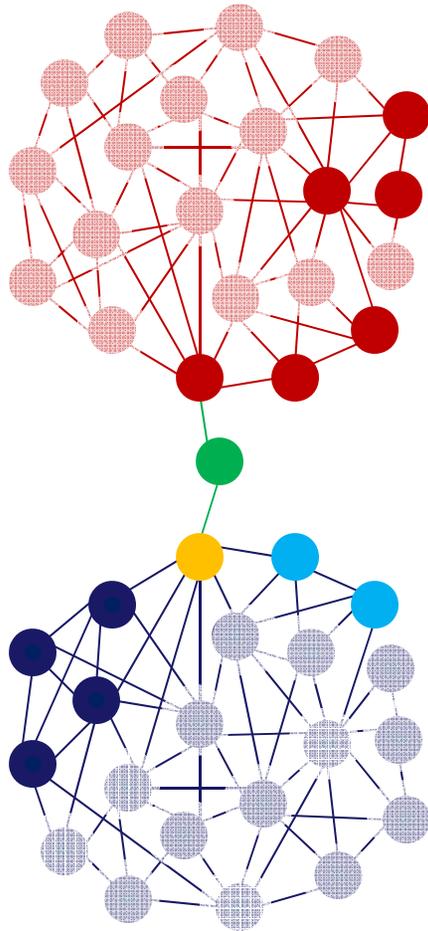
**Transform:** Add **connector** to seedset, rearrange



**The activation sequence is now connected.**



# Proof: $\exists$ connected sequence with $|\text{seedset}| < 2\text{opt.}$ (3)



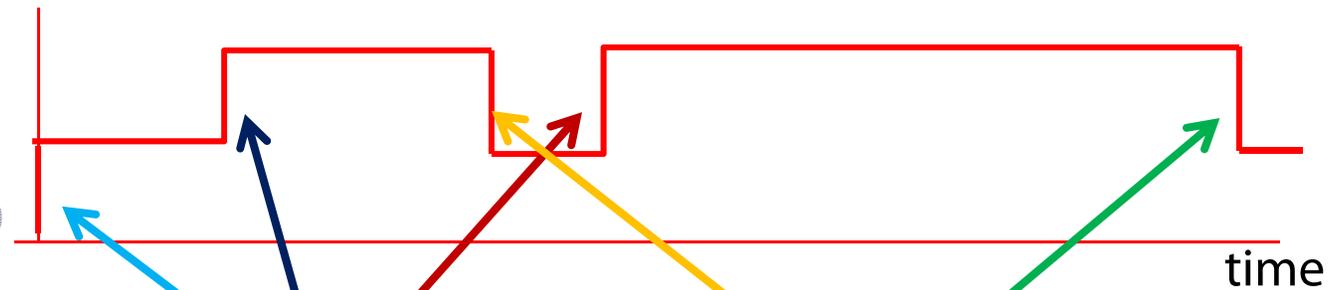
**Proof:** Given any **optimal sequence** transform it to a **connected sequence** by adding at most **opt** nodes to the seedset.

**Optimal (disconnected) activation sequence**

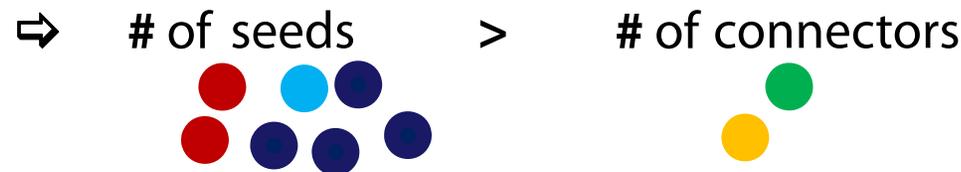


**To bound seedset growth, we bound # of connectors.**

Plot of # of disconnected components in optimal sequence



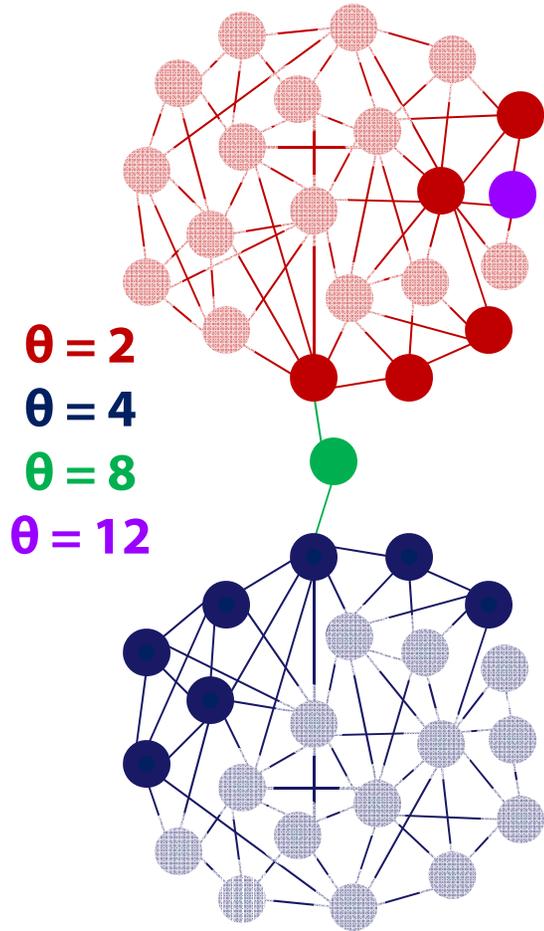
Every step up needs a step down



**In the worst case, our transformation doubles the size of the seedset!** ■



# This IP finds optimal **connected** activation sequences



Let  $\mathbf{x}_{it} = 1$  if node  $i$  activates at time  $t$   
 $0$  otherwise

$$\min \sum_i \sum_{t < \theta(i)} \mathbf{x}_{it} \quad (\text{minimizes size of seedset})$$

Subject to:  $\mathbf{x}_{it} = 1$  if  $i$  is seed

$$\sum_t \mathbf{x}_{it} = 1 \quad (\text{every node eventually activates})$$

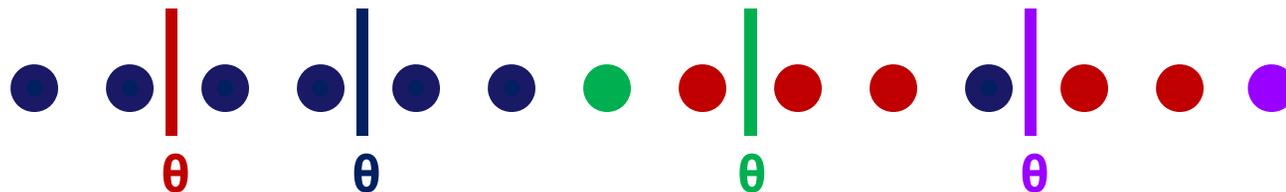
$$\sum_i \mathbf{x}_{it} = 1 \quad (\text{one node activates per timestep})$$

$$\sum_{\text{edges } (i,j)} \sum_{\tau < t} \mathbf{x}_{j\tau} \geq \mathbf{x}_{it} \quad (\text{connectivity})$$

$= 1$  if neighbor  $j$  is on by time  $t$

**Cor:** IP returns seedset of size  $< 2\text{opt}$ .

Activation sequence



## Part II: How do we round this?

Iterative and adaptive rounding  
with **both** the seedset and sequence.

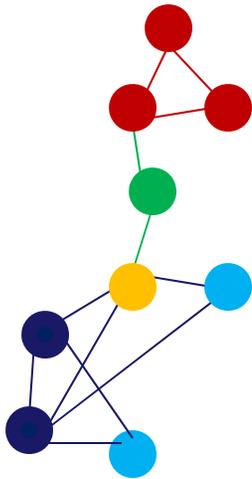
We return **connected seedsets**  
instead of **connected activation sequences**.  
( $\Rightarrow$   $O(r)$ -approx instead of 2-approx )



# Rounding the seedset or the sequence?

Because integer programs are not efficient, we relax the IP to a linear program (LP).  
Now the  $\mathbf{x}_{it}$  are fractional value on  $[0,1]$ . How can we round them to an integers?

$\theta = 1$   
 $\theta = 3$   
 $\theta = 4$   
 $\theta = 5$   
 $\theta = 7$



**Optimal  
Seedset:** 

Threshold  $\theta$  is   
if at least  $\theta$  nodes  
are active by time  $\theta$

## Approach 1: Sample the seedset.

$i$  is a seed with probability  $\propto \sum_{t < \theta(i)} \mathbf{x}_{it}$

**Pro:** Small seedset. 

**Con:** No guarantee that every node activates.

## Approach 2: Sample the activation sequence.

$i$  activates by time  $t$  with probability  $\propto \sum_{\tau < t} \mathbf{x}_{i\tau}$

**Pro:** Every node is activated.

**Con:** Corresponding seedset can be huge!

## Solution?

**Approach 3:** Sample both together.  
Then reconcile them adaptively & iteratively.



# Approach 3: Sample seedset and sequence together!

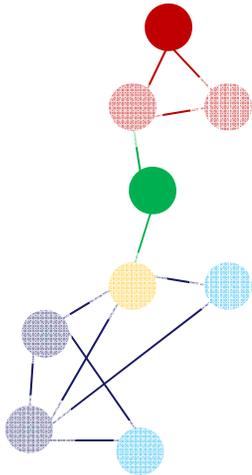
$\theta = 1$

$\theta = 3$

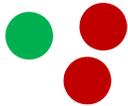
$\theta = 4$

$\theta = 5$

$\theta = 7$



Sampled seedset:



**Sample seedset:** (use Approach 1)

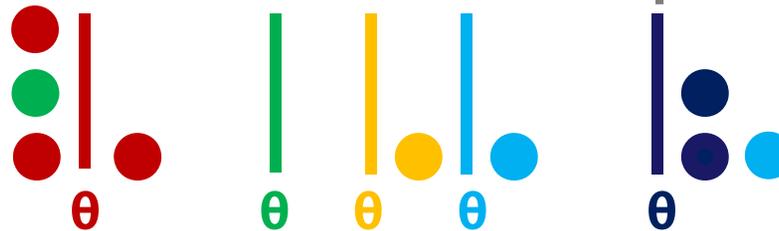
1. Let  $\mathbf{i}$  be a seed with prob.  $O(\log |V|) \sum_{t < \theta(i)} \mathbf{x}_{it}$
2. **Glue** seedset together so it's connected

This grows seedset by a factor of  $O(r \log |V|)$

**Construct an activation sequence deterministically:**

- Activate all the seeds at time  $\mathbf{1}$
- For each timestep  $\mathbf{t}$ 
  - For every inactive node connected to active node
  - ... activate it if it has threshold  $\theta > \mathbf{t}$

**Constructed Activation Sequence:**

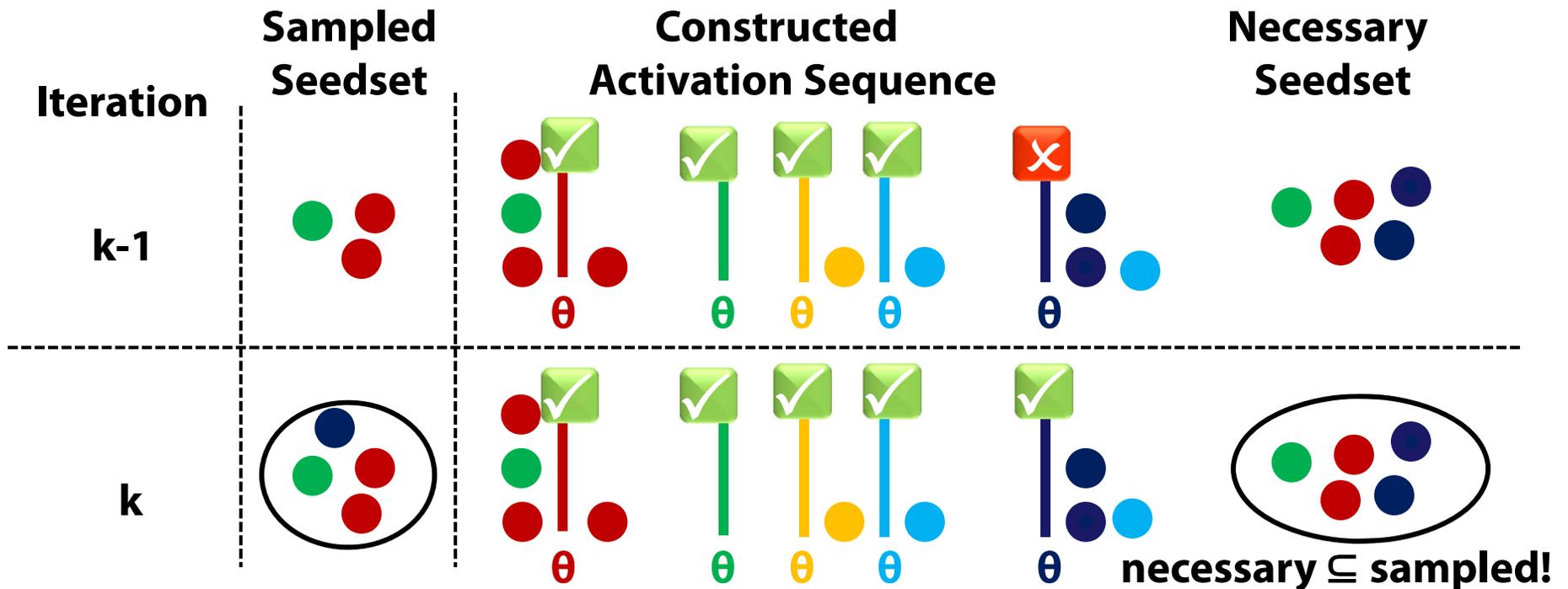




# Iteratively round both seedset and sequence!

At iteration  $j$ :

- Use rejection sampling to add **extra** nodes to sampled seedset
- ... so that  $\theta_j$  is  in constructed activation sequence.



When all  $\theta$  are , constructed sequence is consistent with the sampled seedset

Threshold  $\theta$  is  if at least  $\theta$  nodes are active by time  $\theta$

**By how much does this grow the seedset?**  
 $k$  thresholds, with  $O(r \log|V|)$  increase per threshold.  
 Total  $O(r k \log|V|)$  growth.



# Why does this work?

**How to show:** For each iteration  $j$ , rejection sampling ensures  $\theta_j$  is  in constructed seedset?

## Approach 3: Sample seedset.

- Let  $i$  be a seed with prob.  $\propto \sum_{t < \theta(i)} \mathbf{x}_{it}$

## Deterministically construct sequence:

- Activate all the seeds at time 1
- For each timestep  $t$ 
  - Activate all nodes with  $\theta > t$
  - ...that are connected to an active node

$\approx$

## Approach 2: Sample the activation sequence.

- $i$  activates by time  $t$  with probability  $\propto \sum_{\tau < t} \mathbf{x}_{i\tau}$

$\Rightarrow$  Enough nodes on by time  $t = \theta_j$ , and  $\theta_j$  is !

## With Approach 3 we gain:

1. Connectivity
2. Every node activates
3. Small seedset

**This is the tricky part. Our proof uses two ideas:**

Add **flow constraints** to LP

&

Activate seeds at  $t=1$  in constructed sequence.

( $\Rightarrow$  connected seedset)



# Wrapping up



**Minimization formulation:** Given the graph and thresholds  $\theta$ , find the smallest seedset that activates every node in the graph.

**Main result:** An  $O(r \cdot k \cdot \log |V|)$ -approx algorithm based on LPs  
 $r$  is graph diameter,  $k$  is number of possible thresholds  
Algorithm finds **connected seedsets**.

**Lower Bound:** Can't do better than an  $\Omega(\log |V|)$  approx. (Even for constant  $r, k$ )

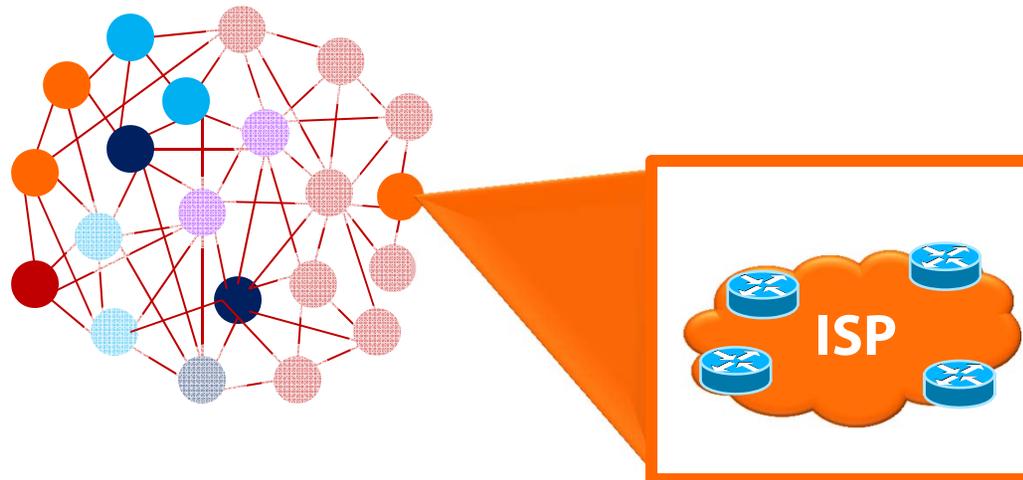
**Lower Bound:** Can't do better than an  $\Omega(r)$  approx if seedset is connected.



## Open problems:

- Can we solve without LPs?
- Can we gain something with random thresholds?
- Apply techniques in less stylized models? (e.g. models of Internet routing.)
- ...

# Thanks!



<http://arxiv.org/abs/1202.2928>